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ATTORNEY DOCKET NO. 22000.0116U2

**APPLICANT OR PATENTEE:** Richard F. Haglund, Jr., Dvid R. Ermer and Michelle Lee Baltz-Knorr  
**FOR:** **"SYSTEM AND METHOD OF INFRARED MATRIX-ASSISTED LASER DESORPTION/IONIZATION MASS SPECTROMETRY IN POLYACRYLAMIDE GELS"**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

**NAME OF ORGANIZATION:** VANDERBILT UNIVERSITY  
**ADDRESS OF ORGANIZATION:** Office of Technology Transfer  
305 Kirkland Hall  
Nashville, TN 37240

**TYPE OF ORGANIZATION:**

- ☒ University or other institution of higher education  
(Name of state: Tennessee  
(Citation of statute: )
- ☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Non-profit scientific or educational under statute of state of the United States of America  
(Name of state )  
(Citation of statute )
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if it were located in The United States of America
- ☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if it were located in The United States of America  
(Name of state )  
(Citation of statute )

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled **"SYSTEM AND METHOD OF INFRARED MATRIX-ASSISTED LASER DESORPTION/IONIZATION MASS SPECTROMETRY IN POLYACRYLAMIDE GELS"** by inventor(s) Richard F. Haglund, Jr., Dvid R. Ermer and Michelle Lee Baltz-Knorr described in the specification filed August 16, 2001.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

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If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required for each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

~~Larry Steranka, Ph.D.~~      *CHRISTOPHER D. MCKINNEY*

TITLE IN ORGANIZATION:

Director of Technology Transfer

ADDRESS OF PERSON SIGNING:

Office of Technology Transfer  
1207 17th Avenue, South, Suite 210  
Nashville, TN 37212

SIGNATURE: \_\_\_\_\_



DATE: 8-20-01

☒ Original    ☐ Supplemental    ☐ Substitute    ☐ PCT

My residence, post office address and citizenship are as stated below next to my name.

(check one) ☐ which is attached hereto, or  
☒ which was filed on August 16, 2001, as United States Application No. 09/931,490  
and with amendments through (if applicable), or  
☐ in International Application No. PCT/, filed , and as amended on (if applicable).

I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) or §365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS: (ENTER BELOW IF APPLICABLE)			PRIORITY CLAIMED (MARK APPROPRIATE BOX BELOW)	
APP. NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	YES	NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

<b>APPLICATION NUMBER</b>	<b>FILING DATE</b>
60/225,719	August 16, 2000

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS (MARK APPROPRIATE COLUMN BELOW)		
		PATENTED	PENDING	ABANDONED

I hereby appoint the following attorneys and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith including entering the serial number of the application when it becomes available:



23859

PATENT TRADEMARK OFFICE

Address all telephone calls to Tim Tingkang Xia at telephone no. (404) 688-0770.

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127 Peachtree Street, N.E.  
Atlanta, Georgia 30303-1811

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Richard F. Haglund, Jr.

Inventor's signature: RF Haglund Jr Date: 8/29/01

Residence: 1797 Harpeth River Drive, Brentwood, TN 37027

Post Office Address: 1797 Harpeth River Drive, Brentwood, TN 37027

Citizenship: U.S.A.

Full name of second inventor: David R. Ermer

Inventor's signature: David R Ermer Date: 9/14/2001

Residence: 10 Morgan Avenue, Mississippi State, MS 39762

Post Office Address: 10 Morgan Avenue, Mississippi State, MS 39762

Citizenship: U.S.A.

Full name of third inventor: Michelle Lee Baltz-Knorr

Inventor's signature: Michelle Lee Baltz-Knorr Date: 8/30/01

Residence: 595 Hicks Road, Apt. 5-G, Nashville, TN 37221, U.S.A.

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